

Attorney Docket No. 014939-006300US

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: A. Paul AlivisatosApplication No./Patent No.: 10/659,992Filed/Issue Date: September 10, 2003Entitled: GRADED CORE/SHELL SEMICONDUCTOR NANORODS AND NANOROD BARCODESThe Regents of the University of California, a university

(Name of Assignee)

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: A. Paul Alivisatos To: The Regents of the University of California
The document was recorded in the United States Patent and Trademark Office at
Reel 014253, Frame 0592, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Michelle Chew Wong
Signature

05/02/08
Date

Michelle Chew Wong, Reg. No.
Printed or Typed Name

510-495-2456
Telephone Number

Patent Attorney
Title